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JC02 Rec'd PCT/PTO 01 APR 2002
#5

Customized FORM PTO-1390
(REV. 12-2001)

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

ATTORNEY DOCKET NO.

P07421US00/RFH

U.S. APPLICATION NO.

(If known, see 37CFR 1.5)

09/980,825

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/AU00/00647	8 June 2000	8 June 1999

TITLE OF INVENTION: ELECTRICALLY OPERATED TUNING FORM

APPLICANT(S) FOR DO/EO/US: HARRIS, Martin et al.

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ~~The US has been elected by the expiration of 19 months from the priority date (Article 31).~~
5. A **copy** of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English **translation** of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An **oath** or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English translation of the annexes to the Int'l Pre. Exam. Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An **Information Disclosure Statement** under 37 C.F.R. 1.97 and 1.98.
12. An **Assignment** document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **First preliminary amendment**.
14. A **Second or Subsequent** preliminary amendment.
15. A **substitute specification**.
16. A **change of power of attorney and/or address letter**.
17. A **computer-readable form of the sequence listing** in accordance with PCT Rule 13ter.2 & 35 USC 1.821-825.
18. A **second copy of the published international application** under 35 USC 154(d)(4).
19. A **second copy of the English translation** of the international application under 35 USC 154(d)(4).
20. **Other items or information:**
A copy of the **Notification of Missing Requirements** under 35 U.S.C. 371.

In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 17(c).

Date: April 1, 2002

Rec'd PTO 01 APR 2002 #5
C. Hunt

U.S. APPLICATION NO. (If known) 09/980,825	INTERNATIONAL APPLICATION NO. PCT/AU00/00647	ATTORNEY DOCKET NO. P07421US00/RFH 09/980,825		
<input checked="" type="checkbox"/> 21. The following fees are submitted:		CALCULATIONS PTO USE ONLY		
Basic-National-Fee-(37-CFR-1.492-(a)-(1)-(5):				
Neither Int'l Prelim. Exam. fee nor Int'l Search fee paid to USPTO	\$1040			
Search Report has been prepared by the EPO or JPO	\$ 890			
No Int'l Prelim. Ex. fee paid to USPTO but Int'l Search fee paid to USPTO	\$ 740			
International preliminary examination fee paid to USPTO	\$ 710			
Int'l Prelim. Ex. fee paid to USPTO & all claims satisfied PCT Art. 33(1)-(4)	\$ 100			
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$		
<input checked="" type="checkbox"/> Surcharge of \$130 for furnishing the oath or declaration later than from the earliest claimed priority date (37 CFR 1.492(e)).	[] 20 mos. [] 30 mos. +	\$130		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	- 20 =		X \$18 =	\$
Independent Claims	- 03 =		X \$84 =	\$
Multiple Dependent Claim(s) (if applicable)			+ \$280 =	\$
TOTAL OF ABOVE CALCULATIONS =		\$		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.	-	\$65		
SUBTOTAL =		\$65		
Processing fee of \$130 for furnishing the English translation later than from the earliest claimed priority date (37 CFR 1.492(f)).	[] 20 mos. [] 30 mos. +	\$		
TOTAL NATIONAL FEE =		\$65		
<input checked="" type="checkbox"/> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property	+	\$40		
TOTAL FEES ENCLOSED =		\$105.00		
04/04/2002 MALT111 00000027 09980825	65.00 OP	Amount to be	Refunded \$ Charged \$	
<input checked="" type="checkbox"/> a. A check in the amount of \$105.00 to cover the above fees is enclosed.				
b. Please charge my Deposit Account No. 12-0555 in the amount of \$ to cover the above fees.				
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit overpayment to Deposit Account No. 12-0555.				
Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO:		Signature:  Name: Ross F. Hunt, Jr. Reg. No.: 24,082 Phone No.: 703-739-4900 Date: April 1, 2002		
Ross F. Hunt, Jr. At the address (below) of CUSTOMER NO. 00881: LARSON & TAYLOR, PLC 1199 NORTH FAIRFAX ST. SUITE 900 ALEXANDRIA, VA 22314				



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box CCT
 United States Patent and Trademark Office
 Washington, D.C. 20593
www.uspto.gov

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/980,825	Martin Harris	P07421US00/RFH
INTERNATIONAL APPLICATION NO		
PCT/AU00/00647		
I.A. FILING DATE		PRIORITY DATE
06/08/2000		06/08/1999
CONFIRMATION NO. 1538		
371 FORMALITIES LETTER		
 OC000000007321106		

Date Mailed: 01/31/2002

3-31-2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$65** Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 305-3734

PART 1 - ATTORNEY/APPLICANT COPY

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09/980,825	PCT/AU00/00647	P07421US00/RFH